



Sub. For, PTO-1449

INFORMATION DISCLOSURE  
IN AN APPLICATION

(Use several sheets if necessary)

Sheet	1	OF	5

Docket Number <b>106586.185 US1</b>	Application Number <b>10/662,000</b>
Applicant <b>Andrzej J. Chanduszko</b>	
Filing Date <b>09/12/2003</b>	Group Art Unit <b>3661</b>

## U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
NJP	3,874,388	04/01/1975	King et al.	128	334	
	3,875,648	04/08/1975	Bone	29	417	
	4,006,747	02/08/1977	Kronenthal et al.	128	335	
	4,007,743	02/15/1997	Blake	128	334	
	4,425,908	01/17/84	Simon	128	1	
	4,696,300	09/29/1987	Anderson	128	334	
	4,836,204	06/06/1989	Landymore et al.	128	334	
	4,915,107	04/10/1990	Rebuffat et al.	606	144	
	5,021,059	06/04/1991	Kensey, et al.	606	213	
	5,037,433	08/06/1991	Wilk et al.	606	139	
	5,041,129	08/20/1991	Hayhurst et al.	606	232	
	5,108,420	04/28/1992	Marks	606	213	
	5,192,301	03/09/1993	Kamiya et al.	606	213	
	5,222,974	06/29/1993	Kensey, et al.	606	213	
	5,236,440	08/17/1993	Hlavacek	606	219	
	5,257,637	11/02/1993	El Gazayerli	128	898	
	5,282,827	02/01/1994	Kensey et al.	606	215	
	5,284,488	02/08/1994	Sideris	606	213	
	5,304,184	04/19/1994	Hathaway et al.	606	144	
	5,312,435	05/17/1994	Nash, et al.	606	213	
	5,334,217	08/02/1994	Das	606	213	
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	5,411,481	05/02/1995	Allen et al.	606	144	
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	5,425,744	06/20/1995	Fagan et al.	606	213	
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↓	5,451,235	09/19/1995	Lock et al.	606	213	

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<i>Patricia Rose</i>	<i>1/3/06</i>

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Subt. For, PTO-1449  INFORMATION DISCLOSURE IN AN APPLICATION  (Use several sheets if necessary)				Docket Number 106586.185 US1	Application Number 10/662,000
				Applicant Andrzej J. Chanduszko	
Sheet	2	OF	5	Filing Date 09/12/2003	Group Art Unit 3661

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
NRP	5,478,353	12/26/1995	Yoon	606	213	
	5,507,811	04/16/1996	Koike et al.	623	11	
	5,540,712	07/30/1996	Kleshinski et al.	606	198	
	5,601,571	02/11/1997	Moss	606	139	
	5,618,311	04/08/1997	Gryskiewicz	606	216	
	5,634,936	06/03/1997	Linden et al.	606	213	
	5,683,411	11/04/1997	Kavteladze et al.	606	200	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,707	01/20/1998	Lock et al.	606	213	
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	5,861,003	01/19/1999	Latson et al.	606	213	
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	5,902,319	05/11/1999	Daley	606	219	
	5,904,703	05/18/1999	Gilson	606	213	
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	5,944,738	08/31/1999	Amplatz et al.	606	213	
↓	5,976,174	11/02/1999	Ruiz	606	213	

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Sheet	3	OF	5	Applicant Andrzej J. Chanduszko	
				Filing Date 09/12/2003	Group Art Unit 3661

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
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	6,010,517	01/04/2000	Baccaro	606	151	
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	6,056,760	05/02/2000	Koike et al.	606	148	
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	6,217,590	04/17/2001	Levinson	606	142	
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	6,306,150	10/23/2001	Levinson	606	158	
↓	6,312,446	11/06/2001	Huebsch et al.	606	213	

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Subt. For, PTO-1449  INFORMATION DISCLOSURE IN AN APPLICATION  (Use several sheets if necessary)				Docket Number 106586.185 US1	Application Number 10/662,000
Sheet	4	OF	5	Applicant Andrzej J. Chanduszko	
				Filing Date 09/12/2003	Group Art Unit 3661

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
NKP	6,319,263	11/20/2001	Levinson	606	144	
	6,342,064	01/29/2002	Koike et al.	606	213	
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	2002/0043307	04/18/02	Ishida, et al.	148	411	
	2002/0032462	06/10/1999	Houser et al.	606	213	
↓	2002/0029048	08/31/2001	Miller	606	138	

EXAMINER <i>Natalie Pace</i>	DATE CONSIDERED 1/3/06
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INFORMATION DISCLOSURE IN AN APPLICATION				Applicant Andrzej J. Chanduszko	
(Use several sheets if necessary)				Filing Date 09/12/2003	Group Art Unit 3661
Sheet	5	OF	5		

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
NJP	2002/0077555	06/20/02	Schwartz	600	486	
✓	2003/0059640	03/27/2003	Marton et al.	428	544	

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
✓ A1	Nat'l Aeronautics and Space Administration, "55-Nitinol – The Alloy with a Memory: Its Physical Metallurgy, Properties, and Applications," A Report, pgs. 24-25	
✓ A2	Kimura, A., et al., "Effects of Neutron Irradiation on the Transformation Behavior in Ti-Ni Alloys," Abstract, Proceedings of the Int'l Conf. On Martensitic Transformations (1992) pgs. 935-940	
✓ A3	Ramanathan, G., et al., "Experimental and Computational Methods for Shape Memory Alloys," 15 <sup>th</sup> ASCE Engineering Mechanics Conf., June 2-5, 2003	
✓ A4	Shabalovskaya, S., "Surface, corrosion and biocompatibility aspects of Nitinol as an implant material," Bio-Medical materials and Engineering, (2002) Vol. 12, pgs. 69-109	
✓ A5	Uchil, J. "Shape Memory Alloys – Characterization Techniques," Pramana – Journal of Physics. (2002) Vol. 58, Nos. 5 & 6, pgs. 1131-1139	

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**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
*(Use as many sheets as necessary)*

Sheet

1

of

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<b>Complete if Known</b>	
Application Number	10/662,000
Filing Date	September 12, 2003
First Named Inventor	Chanduszko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2(if known)</sup>			
NRP		US-2003/171774	09/11/2003	Siegner et al.	
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2(if known)</sup>			
NRP		WO 03/053493	07/03/2002	NMT Medical, Inc.	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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1 of 3 Attorney Docket Number 106586.185US1

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2/3 known</sup>	MM-DD-YYYY		
MRP		US-4,710,192	12/01/1987	Liotta et al.	
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		US-5,275,826	01/04/1994	Badylak et al.	
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		US-5,480,424	01/02/1996	Cox	
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		US-5,577,299	11/26/1996	Thompson et al.	
		US-5,620,461	04/15/1997	Muijs Van De Moer et al.	
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		US-6,322,548	11/27/2001	Payne et al.	
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		US-6,334,872 B1	01/01/2002	Termin et al.	
		US-6,346,074	02/12/2002	Roth	
		US-6,402,772	06/11/2002	Amplatz et al.	

Examiner Signature	<i>Natalie Pau</i>	Date Considered	13/06
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				Application Number	10/662,000
				Filing Date	September 12, 2003
				First Named Inventor	Andrzej J. Chanduszko
				Art Unit	3661
				Examiner Name	TBA
Sheet	2	of	3	Attorney Docket Number	106586.185US1

URP	US-6,419,669	07/16/2002	Frazier et al.	
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	US-2001/0039435	11/08/2001	Roue et al.	
	US-2001/0014800	08/16/2001	Frazier et al.	

Examiner Signature	<i>Natalya Pan</i>	Date Considered	11/3/06
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Substitute for form 1449/PTO				<b>Complete if Known</b>	
				Application Number	10/662,000
				Filing Date	September 12, 2003
				First Named Inventor	Andrzej J. Chanduszko
				Art Unit	3661
				Examiner Name	TBA
Sheet	3	of	3	Attorney Docket Number	106586.185US1

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2/3 known</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NRP		EP 1 046 375	10/25/2000		
		EP 1 222 897	07/17/2002		
		WO 02/24106	03/28/2002		
		WO 03/077733	09/25/2003		
		WO 96/25179	08/22/1996		
		WO 96/31157	10/10/1996		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
NRP		Stöckel, "Nitinol Medical Devices and Implants," SMST-2000 Conference Proceedings, 2001, pgs 531-541			
		SMST-2000, "Proceedings of the International Conference on Shape Memory and Superelastic Technologies," 30 April to 4 May 2000, Asilomar Conference Center.			
		Ruiz et al. "The Puncture Technique: A New Method for Transcatheter Closure of Patent Foramen Ovalis," Catheterization and Cardiovascular Interventions 53, Wiley-Liss, Inc., 2001, pages 369-372.			
		International Search Report, International Application No. PCT/US03/17390, mailed on October 6, 2003, 4 pgs.			

Examiner Signature	<i>Natalie Pace</i>	Date Considered	1/13/06
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